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AUTHOR(S):

Kato, Hisao

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# REFINABLE MAPS AND SHAPE

By Hisao Kato

(筑波大学数学系 加藤久男)

In [9], J. J. Kelley defined very important notion "property [K]" and he proved that if  $X$  is a continuum which has property [K], then the hyperspace  $C(X)$  of subcontinua of  $X$  is contractible. In [6], R. W. Wardle proved that every confluent map preserves property [K]. It is well-known that every refinable map is weakly confluent (see [1]), but simple examples show that weakly confluent maps do not preserve property [K]. In [2, (16.38) Question], S. B. Nadler asked the following question; what kinds of mappings preserve property [K]? We show that every refinable map preserves property [K]. In [1], J. Ford and J. W. Rogers proved that every refinable map onto a Peano continuum (locally connected) is monotone. In [7], S. B. Nadler proved that if  $f: X \rightarrow Y$  is a near-homeomorphism between compacta and  $Y$  has property [K], then  $f$  is confluent. Note that every near-homeomorphism is a refinable map but the converse is not true. We show that if  $r: X \rightarrow Y$  is a refinable map between compacta and  $Y$  has property [K], then  $r$  is confluent. The condition that  $Y$  has property [K] cannot be omitted. We give an example in which refinable maps are not confluent. Also, we show that if  $r: X \rightarrow Y$  is a refinable map between continua, then  $X$  is irreducible iff  $Y$  is irreducible. Moreover, in shape theory, we have the following: If  $r: X \rightarrow Y$  is a refinable

map between compacta and  $Y$  is calm, then  $r$  is a shape equivalence. As a corollary, if  $r: X \rightarrow Y$  is a refinable map between compacta and either  $X$  or  $Y$  is  $S^n$ -like ( $n \geq 1$ ), then  $r$  is a shape equivalence, where  $S^n$  denotes the  $n$ -sphere (cf. [3]). Several properties concerning refinable maps have been studied in ([1, 2, 3, 4, 5, 6, 7, 8, etc.]).

The word compactum means a compact metric space. A connected compactum is called a continuum. If  $x$  and  $y$  are points of a metric space,  $d(x, y)$  denotes the distance from  $x$  to  $y$ . For any subsets  $A, B$  of a metric space, let  $d(A, B) = \inf\{d(a, b) \mid a \in A, b \in B\}$ . Also, let  $d_H(A, B) = \max\{\sup_{a \in A} d(a, B), \sup_{b \in B} d(b, A)\}$ .  $d_H$  is called the Hausdorff metric (see [9], [12]). A compactum  $X$  is said to have property [K] (see [9]) provided that given  $\xi > 0$  there exists  $\delta > 0$  such that if  $a, b \in X$ ,  $d(a, b) < \delta$ , and  $A$  is a subcontinuum of  $X$  with  $a \in A$ , then there exists a subcontinuum  $B$  of  $X$  such that  $b \in B$  and  $d_H(A, B) < \xi$ . Note that every locally connected compactum has a property [K], but the converse is not true. A map  $f: X \rightarrow Y$  between compacta is confluent (weakly confluent) if for every subcontinuum  $Q$  of  $Y$  each (at least one, respectively) component of the inverse image  $f^{-1}(Q)$  is mapped by  $f$  onto  $Q$ . A map  $r: X \rightarrow Y$  between compacta is refinable [1] if for every  $\xi > 0$  there exists an onto map  $f: X \rightarrow Y$  such that  $\text{diam } f^{-1}(y) < \xi$  for each  $y \in Y$  and  $d(r, f) = \sup\{d(r(x), f(x)) \mid x \in X\} < \xi$ . By definitions, each refinable map is surjective, each near-homeomorphism is refinable and if there is a refinable map from a compactum  $X$  to a compactum  $Y$ , then  $X$  is  $Y$ -like (see [5] for the definition that  $X$  is  $Y$ -like). But any converse assertions of them are not true.

Theorem. Let  $r: X \rightarrow Y$  be a refinable map between compacta. If  $X$  has property  $[K]$ , then  $Y$  has the same property.

Corollary. If  $r: X \rightarrow Y$  is a refinable map between continua and  $X$  has property  $[K]$ , then the hyperspaces  $2^Y$  and  $C(Y)$  are contractible.

Theorem. Let  $r: X \rightarrow Y$  be a refinable map between compacta. If  $Y$  has property  $[K]$ , then  $r$  is confluent.

Remark. In the statement of above theorem, we cannot omit the condition that  $Y$  has property  $[K]$ . In the plane  $R^2$ , put

$$A = \{(2, y) \mid -1 \leq y \leq 2\},$$

$$B = \text{Cl}\{(x, \sin [2\pi/x]) \mid -1 \leq x < 0\},$$

$$C = \text{Cl}\{(x, \sin [2\pi/x]) \mid 0 < x \leq 1\},$$

$$D = \text{Cl}\{(x, \sin [2\pi/x-2]) \mid 1 \leq x < 2\}, \text{ and}$$

$$E = \{(0, y) \mid -1 \leq y \leq 2\}.$$

Also, let  $X = A \cup B \cup C \cup D$  and  $Y = B \cup E$ . Define a map  $r: X \rightarrow Y$  by

$$r(p) = \begin{cases} (0, y) & \text{if } p = (2, y) \in A, \\ (0, \sin [2\pi/x]) & \text{if } p = (x, \sin [2\pi/x]) \in C, \\ (0, \sin [2\pi/x-2]) & \text{if } p = (x, \sin [2\pi/x-2]) \in D, \\ p & \text{if } p \in B. \end{cases}$$

Then it is easily seen that  $r$  is a refinable map, but not confluent.

Corollary. If  $r: X \rightarrow Y$  is a refinable map between compacta and  $X$  has property  $[K]$ , then  $r$  is confluent.

It is well-known that the condition that the hyperspaces  $2^X$  and  $C(X)$  of a continuum  $X$  is contractible does not imply that  $X$  has property  $[K]$ . Hence, the following question is raised.

Question. Let  $r: X \rightarrow Y$  be a refinable map between continua. If the hyperspaces  $2^X$  and  $C(X)$  are contractible, are the hyperspaces  $2^Y$  and  $C(Y)$  contractible?

Recall that a continuum  $X$  is irreducible if there exist two points  $p, q \in X$  such that no proper subcontinuum of  $X$  contains  $p$  and  $q$ . A continuum is hereditarily decomposable (hereditarily indecomposable) if for any non-degenerate subcontinuum  $A$  of  $X$ , there exists (there does not exist) a decomposition of  $A$  into two proper subcontinua  $A_1$  and  $A_2$  of  $A$  such that  $A = A_1 \vee A_2$ . A continuum  $T$  is a triod if there are three subcontinua  $A, B$  and  $C$  of  $T$  such that  $T = A \vee B \vee C$ ,  $A \cap B \cap C = A \cap B = B \cap C = C \cap A$  and this common part is a proper subcontinuum of each of them. A continuum is atriodic if  $X$  fails to contain a triod ([2]).

Theorem. Let  $r: X \rightarrow Y$  be a refinable map between continua. Then  $X$  is irreducible iff  $Y$  is irreducible.

To prove the above theorem, we need the following characterization of irreducible continua.

Theorem (R. H. Sorgenfrey [5]). A necessary and sufficient condition that  $X$  is irreducible is that if  $X$  is the essential sum of three proper subcontinua, then some pair fails to intersect.

Proposition. Let  $r: X \rightarrow Y$  be a refinable map between compacta. If either  $X$  or  $Y$  is a Cantor set, then  $r$  is a near-homeomorphism, i.e.,  $X$  and  $Y$  are Cantor sets.

Proposition. Let  $r: X \rightarrow Y$  be a refinable map between continua. Then

- (1) if  $X$  is hereditarily decomposable, then  $Y$  is also,
- (2)  $X$  is hereditarily indecomposable iff  $Y$  is also, and
- (3)  $X$  is atriodic iff  $Y$  is also.

Corollary. Let  $r: X \rightarrow Y$  be a refinable map between continua. If either  $X$  or  $Y$  is the pseudo-arc, then  $r$  is a near-homeomorphism, i.e.,  $X$  and  $Y$  are pseudo-arcs.

A compactum  $X$  is calm if whenever  $X \subset M \in \text{ANR}$ , there is a neighborhood  $V$  of  $X$  in  $M$  such that for any neighborhood  $U$  of  $X$  in  $M$  there is a neighborhood  $W$  of  $X$  in  $M$ ,  $W \subset U$  such that if  $f, g: Y \rightarrow W$  are maps with  $f \simeq g$  in  $V$ , then  $f \simeq g$  in  $U$  for all  $Y \in \text{ANR}$ .

Theorem. If  $r: X \rightarrow Y$  is a refinable map between compacta and  $Y$  is calm, then  $r$  is a shape equivalence, i.e.,  $\text{sh}(X) = \text{sh}(Y)$ .

Corollary. If  $r: X \rightarrow Y$  is a refinable map between compacta and  $Y$  is an FANR, then  $r$  is a shape equivalence (see [3]).

Corollary. If  $r: X \rightarrow Y$  is a refinable map between compacta and  $Y$  is an  $\text{AANR}_N$ , then  $r$  is a shape equivalence.

Remark. In the statements of above results, we cannot replace "calm" by "movable". Also, we cannot replace " $\text{AANR}_N$ " by " $\text{AANR}_C$ " (see [4]).

As a corollary, we have

Corollary. If  $r: X \rightarrow Y$  is a refinable map between compacta and if either  $X$  or  $Y$  is  $S^n$ -like ( $n \geq 1$ ), then  $r$  is a shape equivalence, where  $S^n$  denotes the  $n$ -sphere.

Question. Does every refinable map preserve calmness (FANR,  $\text{AANR}_N$ ) ?

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